

BSR/ASHRAE Addendum *n*
to ANSI/ASHRAE Standard 135-2004

Public Review Draft

ASHRAE® Standard

Proposed Addendum *n* to Standard 135-2004, *BACnet*®—A *Data Communication Protocol for Building Automation and Control Networks*

First Public Review (September 2007)
(Draft Shows Proposed Changes
to Current Standard)

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[This foreword and the “rationale” on the following page are not part of this standard. They are merely informative and do not contain requirements necessary for conformance to the standard.]

FOREWORD

The purpose of this addendum is to present a proposed change for public review. These modifications are the result of change proposals made pursuant to the ASHRAE continuous maintenance procedures and of deliberations within Standing Standard Project Committee 135. The proposed changes are summarized below.

135-2004*n*-1. Add support for long Backup and Restore preparation times, p. 1.

In the following document, language to be added to existing clauses of ANSI/ASHRAE 135-2004 and Addenda is indicated through the use of *italics*, while deletions are indicated by ~~strike through~~. Where entirely new subclauses are proposed to be added, plain type is used throughout. Only this new and deleted text is open to comment as this time. All other material in this addendum is provided for context only and is not open for public review comment except as it relates to the proposed changes.

135-2004*n*-1. Add support for long Backup and Restore preparation times.

Rationale
 In larger devices, preparations to perform Clause 19.1 Backup and Restore operations can take a considerable amount of time, much greater than (say) typical APDU_Timeout values. A mechanism for supporting such devices is needed.

Addendum 135-2004*n*-1

[Change **Table 12-13**, p. 178.]

Table 12-13. Properties of the Device Object Type

Property Identifier	Property Datatype	Conformance Code
...
Database_Revision	Unsigned	R
Configuration_Files	BACnetARRAY[N] of BACnetObjectIdentifier	O ⁷
Last_Restore_Time	BACnetDateTime	O ⁷
Backup_Failure_Timeout	Unsigned16	O ⁸
Backup_Preparation_Time	Unsigned16	O ⁷
Restore_Preparation_Time	Unsigned16	O ⁷
Backup_And_Restore_State	BACnetBackupState	O ⁷
...

⁷ These properties are required if the device supports the backup and restore procedures.

⁸ This property ~~must~~ *shall* be present and writable if the device supports the backup and restore procedures.

[Modify Clause **12.11.34**, p. 182]

12.11.34 Database_Revision

This property, of type Unsigned, is a logical revision number for the device's database. It is incremented when an object is created, an object is deleted, an object's name is changed, an object's Object_Identifier property is changed, or a restore is performed *with the exception that the creation and deletion of configuration files during a backup or restore procedure shall not affect this property.*

[Add new Clauses **12.11.43** through **12.11.45**, p. 183, and renumber following clauses.]

12.11.43 Backup_Preparation_Time

This optional property, of type Unsigned16, indicates the amount of time in seconds that the device might remain unresponsive after the sending of a ReinitializeDevice-ACK. The device that initiated the backup shall either wait the period of time specified by this property or be prepared to encounter communication timeouts during this period. The use of this value is described in more detail in Clause 19.1.

12.11.44 Restore_Preparation_Time

This optional property, of type Unsigned16, indicates the amount of time in seconds that the device is allowed to remain unresponsive after the sending of a ReinitializeDevice-ACK. The restoring device shall either wait or be prepared to encounter communication timeouts during this period. The use of this value is described in more detail in Clause 19.1.

12.11.45 Backup_And_Restore_State

This optional property, of type BACnetBackupState, indicates a server device's backup and restore state. The use of this value is described in more detail in Clause 19.1.

[Change Clause **19.1.1**, p. 359.]

19.1.1 The Backup and Restore Procedures

In BACnet building control systems, many devices will have configuration data that is set up by a vendor's proprietary configuration tool. This setup may consist of network visible BACnet objects and/or non-network visible settings. This section outlines the standard method that BACnet devices will employ if an interoperable device backup and restore feature is to be provided.

The backup and restore procedures use File objects to hold and transfer the configuration data. The content and format of the configuration files is a local matter. The choice of whether to use stream-based files or record-based files is a local matter. The services required to support the backup and restore procedures are ReinitializeDevice, ReadProperty, WriteProperty, AtomicWriteFile, AtomicReadFile, and, optionally, CreateObject, *ReadPropertyMultiple*, or *WritePropertyMultiple*.

[Change Clause 19.1.2.2, p. 359.]

19.1.2.2 Preparation for Backup

Before starting a backup procedure, device A shall read the Backup_Preparation_Time property from device B's Device object.

Upon receipt of the ReinitializeDevice(STARTBACKUP, <password>) message, if device B is able to perform a backup procedure, device B ~~will shall~~ ~~prepare for the backup procedure and~~ respond with a 'Result(+)' to the ReinitializeDevice service request. *Device B shall set its Backup_And_Restore_State to PREPARING_FOR_BACKUP. Upon receipt of a Result(+), device A shall monitor the Backup_And_Restore_State property and not continue with the backup until the property contains the value PERFORMING_A_BACKUP. During the time period immediately following the Result(+) defined by the Backup_Preparation_Time, device B is allowed to ignore requests from device A and as such device A shall not consider a lack of response during this period to be an error condition. It is a local matter whether device A initiates the monitoring of the Backup_And_Restore_State property during or after this time period.*

If device B is unable to perform a backup procedure or is already performing a backup procedure, then it ~~will shall~~ respond to the ReinitializeDevice service request with a 'Result(-)' response. Assuming device B supports the backup procedure and the request was properly formulated, the valid Error Class:Error Codes that can be returned are:

DEVICE:CONFIGURATION_IN_PROGRESS - if device B is already processing a backup or a restore request.

SERVICES:SERVICE_REQUEST_DENIED – if the password that was provided was incorrect or if a password is required and one was not provided.

After device B responds to the ReinitializeDevice request with a 'Result(+)', device B has Backup_Preparation_Time seconds to prepare for the backup procedure. During this period of time, device B is not required to respond to any BACnet service requests. Once this period of time elapses, device B is required to respond to ReadProperty and ReadPropertyMultiple requests for properties of the Device object. When device B has completed its backup preparations in their entirety, the configuration File objects ~~must~~ shall exist in the ~~device~~ device and the Backup_And_Restore_State property shall be set to PERFORMING_A_BACKUP. The creation of configuration File objects during this time shall not have an effect on the Database_Revision property.

It is a local matter as to whether device B will respond to other requests while ~~performing a backup procedure~~ ~~it is in backup mode~~. The exception to this is that device B ~~must~~ is required to accept and fulfill read requests by device A that consist of accesses to device B's Device object and/or its configuration File objects. Any services that are rejected due to an in-progress backup procedure will be rejected with an error class of DEVICE and error code of DEVICE_BUSY.

It is a local matter as to whether device B will continue to perform control actions while it is in backup mode. If device B changes its operational behavior during a backup procedure, then the System_Status property of the Device object shall be set to BACKUP_IN_PROGRESS.

[Change Clause **19.1.2.5**, p. 360.]

19.1.2.5 Ending the Backup Procedure

When the all of the configuration files have been read, device A sends a ReinitializeDevice(ENDBACKUP, <password>) message to device B. Device B will perform whatever actions are required to complete the backup in order to place the device back into the state it was in before the backup procedure or into any other state as defined by the vendor. Device B must not remain in the BACKUP_IN_PROGRESS mode after the backup procedure has ended.

If device A needs to abort the backup for any reason (i.e., the user aborts the procedure, device B fails to allow reads from a configuration file, or device A detects any other condition that inhibits the backup procedure), device A shall attempt to send ReinitializeDevice(ENDBACKUP, <password>) to device B. Upon receipt of this message, device B shall end the backup procedure. If the backup procedure is aborted, device A should not assume that the configuration files are still valid and continue to read them.

The receipt of the ReinitializeDevice(ENDBACKUP, <password>) message shall cause device B to exit backup mode.

If device B does not receive any messages related to the backup procedure from device A for the number of seconds specified in the Backup_Failure_Timeout property of its Device object, device B should assume that the backup procedure has been aborted, and device B should exit backup mode. A message related to the backup procedure is defined to be any ReadProperty, *ReadPropertyMultiple*, WriteProperty, *WritePropertyMultiple*, CreateObject, or AtomicReadFile request that directly accesses a configuration File object.

When the backup procedure ends, device B shall set its Backup_and_Restore_State to IDLE. The deletion of configuration File objects during the backup procedure shall not have an effect on the Database_Revision property.

[Change Clause **19.1.3.2**, pp. 360-361.]

19.1.3.2 Preparation for Restore

Before starting a backup procedure, device A shall read the Restore_Preparation_Time property from device B's Device object. Upon receipt of the restore request, if device B is able to perform a restore procedure, device B ~~will prepare for the restore procedure and~~ shall respond with a 'Result(+)' to the ReinitializeDevice service request. Device B shall set its Backup_And_Restore_State to PREPARING_FOR_RESTORE.

If device B is unable to perform a restore procedure, then it will respond to the ReinitializeDevice service request with a 'Result(-)' response. Assuming device B supports the restore procedure and the request was properly formulated, the valid Error Class:Error Codes that can be returned are:

DEVICE:CONFIGURATION_IN_PROGRESS – if device B is already processing a backup or a restore request.

SERVICES:SERVICE_REQUEST_DENIED – if the password that was provided was incorrect or if a password is required and one was not provided.

After device B responds to the ReinitializeDevice request with a 'Result(+)', device B has Restore_Preparation_Time seconds to prepare for the restore procedure. During this period of time, device B is not required to respond to any BACnet service requests. Once this period of time elapses, device B is required to respond to ReadProperty and ReadPropertyMultiple requests for properties of the Device object. When device B has completed its restore preparations in their entirety, the configuration File objects ~~must~~shall exist in the device, or device B ~~must~~shall be able to accept CreateObject requests from device A to create the configuration File objects, and the Backup_And_Restore_State property shall be set to PERFORMING_A_RESTORE. The creation of configuration File objects during the Restore procedure, whether automatically created by the device or by the execution of the CreateObject service, shall not impact the value of the Database_Revision property.

Upon receipt of a Result(+), device A shall monitor the Backup_And_Restore_State property and not continue with the restore until the property contains the value PERFORMING_A_RESTORE. During the time period immediately following the Result(+) defined by the Restore_Preparation_Time, device B is allowed to ignore requests from device A and as such device A shall not consider a lack of response during this period to be an error condition. It is a local matter whether device A initiates the monitoring of the Backup_And_Restore_State property during or after this time period.

It is a local matter as to whether device B will respond to other requests while it is in restore mode. The exception to this is that device B must accept and fulfill read and write requests by device A that consist of accesses to device B's Device object and/or its configuration File objects. Any services that are rejected due to an in-progress backup procedure will be rejected with an error class of DEVICE and error code of CONFIGURATION_IN_PROGRESS.

Device B ~~must~~ shall be prepared to answer device A's requests for information from device B's Device object. If device B cannot service requests from devices other than device A, then device B shall reject those services with an error class of DEVICE and an error code of CONFIGURATION_IN_PROGRESS.

It is a local matter as to whether device B will continue to perform control actions while it is in restore mode. If device B changes its operational behavior during a restore procedure, then the System_Status property of the Device object shall be set to DOWNLOAD_IN_PROGRESS.

[Change Clause 19.1.3.4, pp. 361-362.]

19.1.3.4 Ending the Restore Procedure

When device A has completely written all of the configuration files to device B, device A ~~will~~ shall send ReinitializeDevice(ENDRESTORE, <password>). Device B will perform whatever actions are required to complete the restore procedure *after responding with a Result(+)*, which should include a validation of the restored configuration. If the validation fails, it is a local matter as to what device B will do beyond changing its System_Status property to something other than DOWNLOAD_IN_PROGRESS.

If device A needs to abort the restore for any reason (i.e., the user aborts the procedure, device B fails to allow writes to a configuration file, or device A detects any other condition that inhibits the restore procedure), device A shall attempt to send ReinitializeDevice(ABORTRESTORE, <password>) to device B. Upon receipt of this message, device B shall abort the restore procedure.

If device B does not receive any messages related to the restore procedure from device A for the number of seconds specified in the Backup_Failure_Timeout property of its Device object, device B should assume that the restore procedure has been aborted, and device B should exit restore mode. A message related to the restore procedure is defined to be any ReadProperty, ReadPropertyMultiple, WriteProperty, WritePropertyMultiple, CreateObject, or AtomicWriteFile request that directly accesses a configuration File object.

When the restore procedure ends successfully, device B shall set its Backup_and_Restore_State to IDLE and shall set the value of the Database_Revision property to the value it had before the restore, and then increment it.

[Change Clause 16.4.2, pp. 330-331.]

16.4.2 Service Procedure

After verifying the validity of the request, including the password, the responding BACnet-user shall pre-empt all other outstanding requests and respond with a 'Result(+)' primitive. If the request is WARMSTART or COLDSTART the responding BACnet-user will immediately proceed to perform any applicable shut-down procedures prior to reinitializing the device as specified by the requesting BACnet-user in the request. If the service request is for WARMSTART and the device is not ready due to its initial characterization being in progress, a 'Result (-)' response primitive shall be issued.

If the requested state is one of STARTBACKUP, ENDBACKUP, STARTRESTORE, ENDRESTORE, or ABORTRESTORE, then the device shall behave as described in 19.1.

If the password is invalid or is absent when one is required, a 'Result (-)' response primitive shall be issued.

The 'Error Class' and 'Error Code' to be returned for specific situations are as follows:

<i>Situation:</i>	<i>Error Class:</i>	<i>Error Code:</i>
<i>The password is invalid or absent when one is required.</i>	<i>SECURITY</i>	<i>INVALID_PASSWORD</i>
<i>A WARMSTART request is received, but the device is not ready due to its initial characterization being in progress.</i>	<i>DEVICE</i>	<i>DEVICE_BUSY</i>
<i>A STARTBACKUP or STARTRESTORE request is received and the device does not support Backup and Restore.</i>	<i>SERVICES</i>	<i>OPTIONAL_FUNCTIONALITY_NOT_SUPPORTED</i>
<i>An ENDBACKUP or ABORTBACKUP request is received from a device that is not currently performing a backup.</i>	<i>SERVICES</i>	<i>SERVICE_REQUEST_DENIED</i>
<i>An ENDRESTORE or ABORTRESTORE request is received from a device that is not currently performing a restore.</i>	<i>SERVICES</i>	<i>SERVICE_REQUEST_DENIED</i>

[Add to **21**, p. 409, new enumeration **BACnetBackupState**.]

```
BACnetBackupState ::= ENUMERATED {
    idle (0),
    preparing-for-backup (1),
    preparing-for-restore (2),
    performing-a-backup (3),
    performing-a-restore (4)
}
```

[Change **21**, p. 428, production **BACnetPropertyStates**.]

[Note: enumeration value 14 is assigned in Addendum 135-2004b-5.]

[Note: enumeration value 15 is assigned in Addendum 135-2004f-1.]

[Note: enumeration values 16-28 are assigned in Addendum 135-2004h-3.]

```
BACnetPropertyStates ::= CHOICE {
    ...
    backup-state [29] BACnetBackupState,
    ...
}
```

[Change **21**, pp.423-428, enumeration **BACnetPropertyIdentifier**.]

[Note: enumeration values 133, 193-206 are assigned in Addendum 135-2004b-2 (Public Review 3).]

[Note: enumeration values 207-211 are assigned in Addendum 135-2004d-2.]

[Note: enumeration values 212-215, 218-222 are assigned in Addendum 135-2004e-1.]

[Note: enumeration values 226-235 are assigned in Addendum 135-2004f-1.]

[Note: enumeration values 216, 217, 223-225, 235-243 are assigned in Addendum 135-2004i-1 (Public Review 1).]

[Note: enumeration values 244-311 are assigned in Addendum 135-2004j-1 (Public Review 1).]

[Note: enumeration values 312 and-313 are assigned in Addendum 135-2004k-1 (Public Review 1).]

BACnetPropertyIdentifier ::= ENUMERATED {

```

...
average-value           (125),
-- see backup-and-restore-state (314),
backup-failure-timeout (153),
-- see backup-preparation-time (315),
bias                    (14),
...
resolution              (106),
-- see restore-preparation-time (316),
scale                   (187),
...
backup-and-restore-state (314),
backup-preparation-time  (315),
restore-preparation-time (316),
...
}

```

[Change Annex C, pp. 457-458.]

DEVICE ::= SEQUENCE {

```

...
backup-failure-timeout [153] Unsigned16,
backup-preparation-time [315] Unsigned16,
restore-preparation-time [316] Unsigned16,
backup-and-restore-state [314] BACnetBackupState,
active-cov-subscriptions [152] SEQUENCE OF BACnetCOVSubscription,
...
}

```

[Change Annex D.11, pp. 471-472]

D.11 Examples of a Device Object

Example 1: A "sophisticated" BACnet device.

```

Property: Object_Identifier = (Device, Instance 1)
...
Property: Backup_Failure_Timeout = 300
Property: Backup_Preparation_Time = 60
Property: Restore_Preparation_Time = 120
Property: Backup_And_Restore_State = IDLE
Property: Active_COV_Subscriptions = (((0, (Device, Instance 12)), 300),
((Analog Input, Instance 1),Present_Value),TRUE,100,1.0),
((0, (Device, Instance 40)), 600),
((Analog Input, Instance 1),Present_Value),TRUE,3,1.5))
...

```